AF
SFW

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450, on the below date:

Date: September 28, 2006 Name: Scott A. Timmerman Signature: Scott Timmerman

OIPE
OCT 03 2006
PATENT & TRADEMARK OFFICE

BRINKS HOFER GILSON & LIONE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Appln of: Neal Brady et al.

U.S. Patent Application Serial No.: 10/685,907

U.S. Filing Date: October 15, 2003

For: NETWORK AND METHOD FOR TRADING DERIVATIVES BY PROVIDING ENHANCED RFQ VISIBILITY

Attorney Docket No: 4672/367

Examiner: Borlinghaus, Jason M.

Group Art Unit: 3693

Mail Stop AF
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

# TRANSMITTAL

Sir:

**Attached is/are:**

☒ Response and Terminal Disclaimer

☒ Return Receipt Postcard

**Fee calculation:**

☐ No additional fee is required.

☐ Small Entity.

☐ An extension fee in an amount of $_____ for a ___( )-month extension of time under 37 C.F.R. § 1.136(a).

☐ A petition or processing fee in an amount of $_____ under 37 C.F.R. § 1.17(_____).

☐ An additional filing fee has been calculated as shown below:

| | | | | | Small Entity | | | Not a Small Entity | |
|---|---|---|---|---|---|---|---|---|---|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Add'l Fee | or | Rate | Add'l Fee |
| Total | | Minus | | | x $25= | | | x $50= | |
| Indep. | | Minus | | | x $100= | | | x $200= | |
| First Presentation of Multiple Dep. Claim | | | | | +$180= | | | + $360= | |
| | | | | | Total | $ | | Total | $ |

**Fee payment:**

☒ A check in the amount of $130.00 to cover the Terminal Disclaimer fee is enclosed.

☐ Please charge Deposit Account No. 23-1925 in the amount of $ . A copy of this Transmittal is enclosed for this purpose.

☐ Payment by credit card in the amount of $_____ (Form PTO-2038 is attached).

☒ The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

Respectfully submitted,

Scott Timmerman

September 28, 2006
Date

Scott A. Timmerman (Reg. No. 55,678)